

**OMEGA ATS LIQUIDITY PROVISION PROGRAM**  
**Effective October 1, 2022** *(subject to regulatory approval)*

Omega ATS offers subscribers the opportunity to receive discounts from the above “regular rates” on passive trades executed by the subscriber or its clients. Application of the discount is limited to trading by pre-approved Trader ID groups, and the amount of the discount provided for a Trader ID group will be based on the eligible passive volume traded on Omega ATS by the Trader ID group exceeding pre-defined percentage thresholds of total Canadian continuous traded volume (TCCV) for the month. The thresholds and discounts are as follows:

TIER	% TCCV THRESHOLD	DISCOUNT ON REGULAR PASSIVE RATES	
		< \$1.00	>= \$1.00
1	0.25%	\$0.0001	\$0.00015
2	0.50%	\$0.00015	\$0.000225
3	0.75%	\$0.0002	\$0.0003

Notes:

- Only Trader ID groups that have been approved by Tradelogiq are eligible for the discount. Subscribers must first complete a form identifying the one or more Trader IDs comprising each Trader ID group. The form is available upon request.
- A Trader ID group may include one or more Trader IDs. Trader IDs associated with a direct electronic access (DEA) arrangement or routing arrangement (RA) client may only be grouped together with TraderIDs for the same single underlying client, or with the TraderIDs of an affiliated entity under common beneficial ownership carrying out similar trading activities.
- Trader IDs can also be aggregated across Omega ATS subscribers for the purposes of comprising a Trader ID group.
- The eligible passive volume of a Trader ID group that will be assessed against TCCV will include all passive traded volume by the Trader ID group on Omega ATS, other than passive volume associated with intentional crosses, odd lots and listed fixed income.
- TCCV for the month is calculated based on total continuous trading volume across all Canadian-listed equities and ETFs on all Canadian marketplaces for the month, excluding listing market opening and closing auctions, intentional crosses, and odd lots.
- The tier achieved by a Trader ID group for a given month determines the discount level to be applied to passive trading by the Trader ID group for that month that is otherwise subject to the regular passive rates. The discount is applied to all such passive trading, not just on the incremental volume in excess of each tier threshold. While the level of discount to be applied differs based on the price of security, the tier is assessed based on trading across both price categories.