



July 22, 2019

Omega Securities Inc. Announces Price Changes to Omega ATS

OSI has **received regulatory approval** to provide a higher active rebate for super dollar securities in equities with adjusted increases to passive posting fees subject to Omega’s breakpoint discount program. In addition, **a new separate pricing category for ETFs** will be created with lowered passive posting fees and rebate. **The new trading fees will become effective on August 1, 2019.**

The approved trading fee changes will place Omega ATS as the top active rebate paying marketplace for both super dollar and sub dollar securities. Consistent with past practice, our new pricing treats all participants equally, and maintains our popular breakpoint pricing structure that discounts fees back to the first trade of the month for volume participants. The new ETF pricing structure is designed to enhance liquidity and tighten spreads for ETFs on Omega ATS.

PREVIOUS Pricing:

Breakpoint Tiers (All equities and ETFs)	REMOVE	ADD
Tier 3 (200MM+)	-\$0.0023/share	\$0.0026/share
Tier 2 (100MM - 200MM)	-\$0.0023/share	\$0.0027/share
Tier 1 (0 – 100MM)	-\$0.0023/share	\$0.0028/share
Broker Preferred Trades	Free	Free

NEW Pricing:

Breakpoint Tiers (All equities)	REMOVE >= \$1.00	ADD >= \$1.00	REMOVE <= \$1.00	ADD <= \$1.00
Tier 3 (200MM+)	-\$0.0025	\$0.0028/share	-\$0.0006/share	\$0.0008
Tier 2 (100MM - 200MM)	-\$0.0025	\$0.0029/share	-\$0.0006/share	\$0.0009
Tier 1 (0 – 100MM)	-\$0.0025	\$0.0030/share	-\$0.0006/share	\$0.0010
Broker Preferred Trades	Free	Free	Free	Free

Breakpoint Tiers (ETFs)	REMOVE	ADD
Tier 3 (200MM+)	-\$0.0008/share	\$0.0011/share
Tier 2 (100MM - 200MM)	-\$0.0008/share	\$0.0012/share
Tier 1 (0 – 100MM)	-\$0.0008/share	\$0.0013/share
Broker Preferred Trades	Free	Free

For further information regarding Omega ATS, please contact OSI at: info@omegaats.com